

# Efficient Coalgebraic Partition Refinement

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Joint work with:

Ulrich Dorsch, Stefan Milius, Lutz Schröder

Friedrich-Alexander-Universität Erlangen-Nürnberg

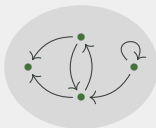
CONCUR 2017  
September 7, 2017

# Efficient Coalgebraic Partition Refinement

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## 1. Coalgebras:

State based  
systems

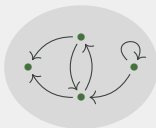


Labels, Non-Determinism,  
Probabilities, Automata,  
... and their combinations!

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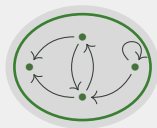
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## 2. Partition Refinement:

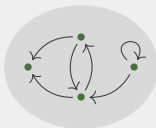
Successively distinguish  
different behaviour



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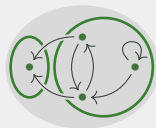
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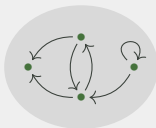
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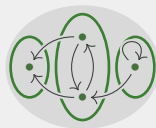
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## 2. Partition Refinement:

Successively distinguish  
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# Efficient Coalgebraic Partition Refinement

## 3. Efficiency:

(a) Incrementally  
compute  
partitions

(b) Complexity  
Analysis

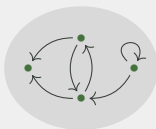
$$\mathcal{O}(m \cdot \log n)$$

Edges

States

## 1. Coalgebras:

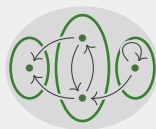
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## 2. Partition Refinement:

Successively distinguish  
different behaviour



Share Common  
Structure & Ideas

Similar  
Run-Time

Variations in  
Details



## Share Common Structure & Ideas

Deterministic  
Finite Automata

$$n \cdot \log n \quad |A| \cdot n \cdot \log n$$

Hopcroft '71    Gries '73

Knutila '01

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$m \cdot \log n$   
Paige, Tarjan '87

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Segala Systems

$m \cdot n \cdot (\log m + \log n)$   
Baier, Engelen,  
Majster-Cederbaum '00

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Valmari '09

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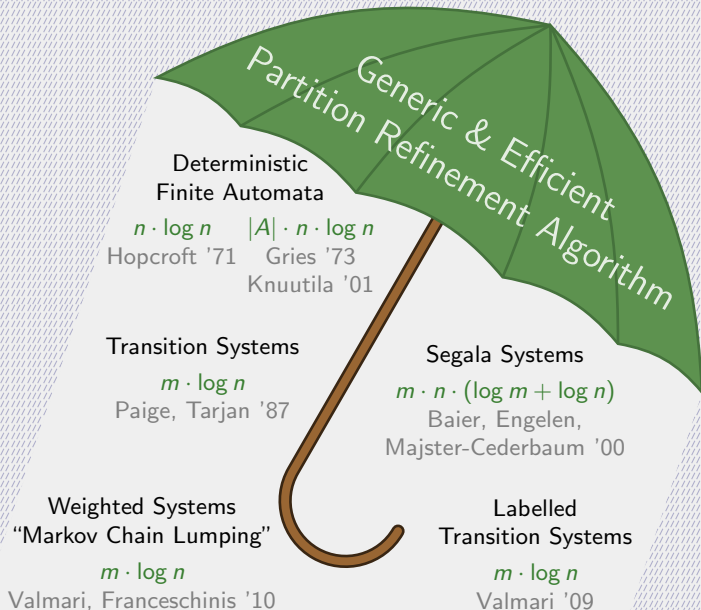
$m \cdot n \cdot (\log m + \log n)$   
Baier, Engelen,  
Majster-Cederbaum '00

Weighted Systems  
"Markov Chain Lumping"

$m \cdot \log n$   
Valmari, Franceschinis '10

Labelled  
Transition Systems

$m \cdot \log n$   
Valmari '09



# Ingredient 1: Factorizations

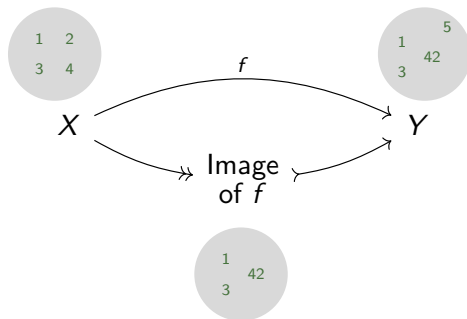
Equivalence Relations	$\cong$	Quotients $\cong$ Partitions
Kernels	$\cong$	Regular Epimorphisms

# Ingredient 1: Factorizations

Equivalence Relations  $\cong$  Quotients  $\cong$  Partitions

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## Category with (Regular Epi, Mono)-Factorizations

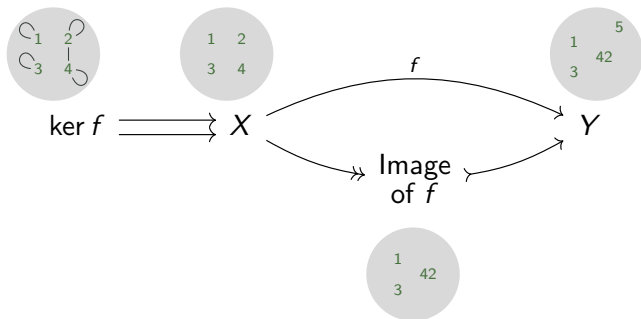




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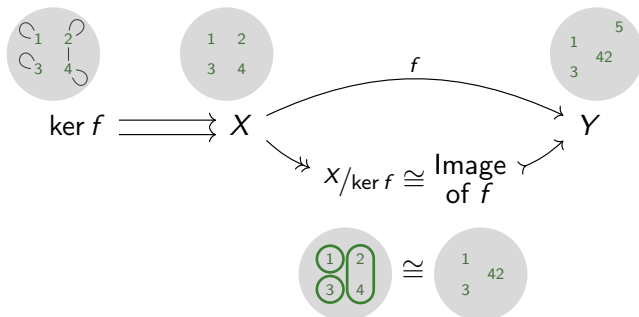


$$\ker f = \{(x_1, x_2) \in X^2 \mid f(x_1) = f(x_2)\}$$

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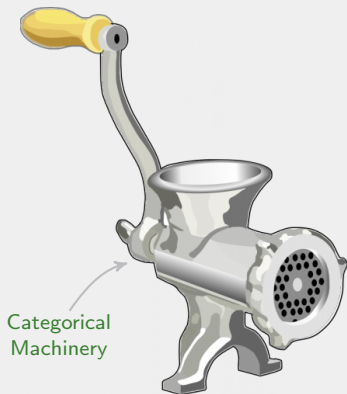
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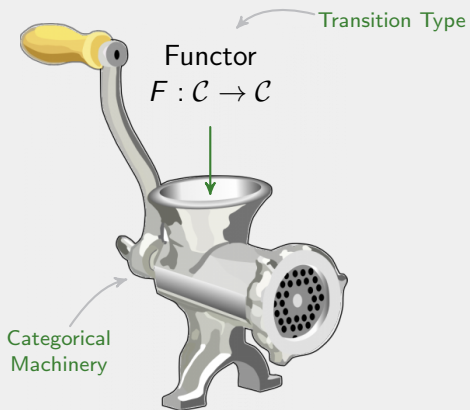


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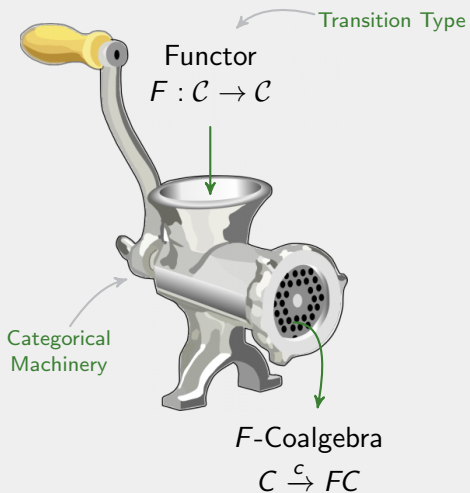
## Ingredient 2: Coalgebra – Generic state based systems



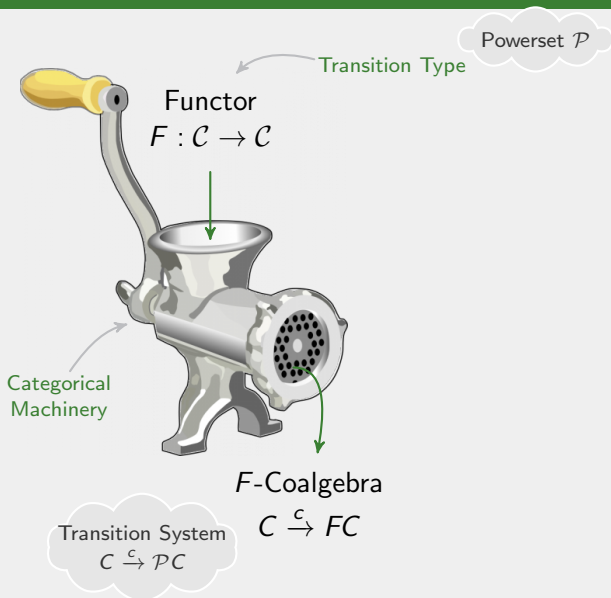
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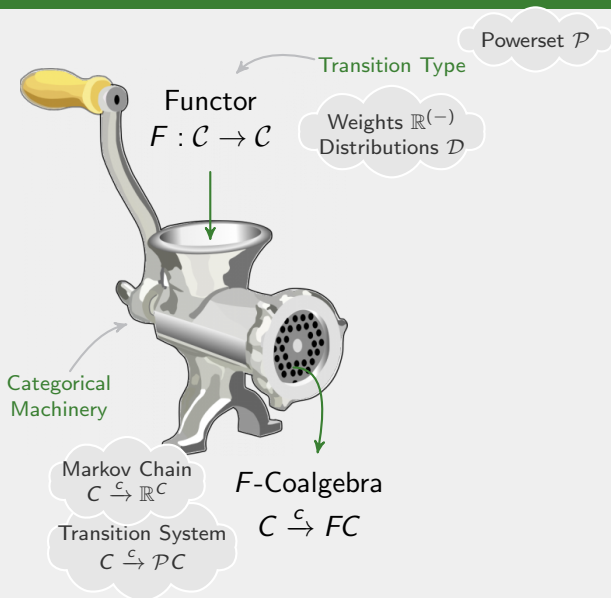
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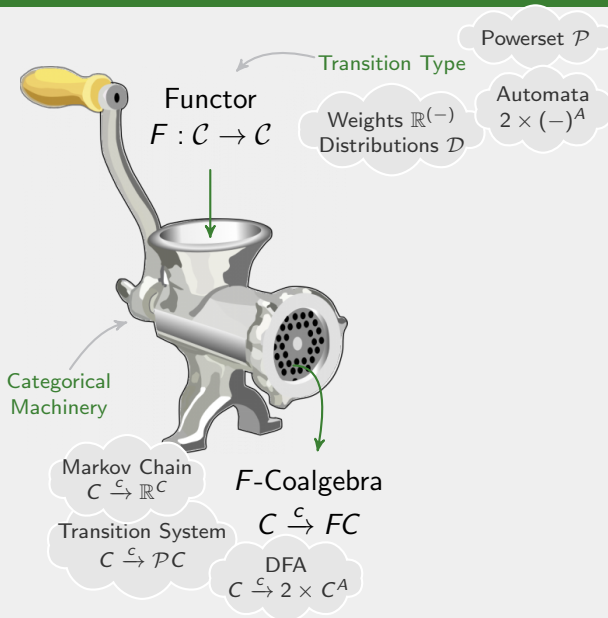
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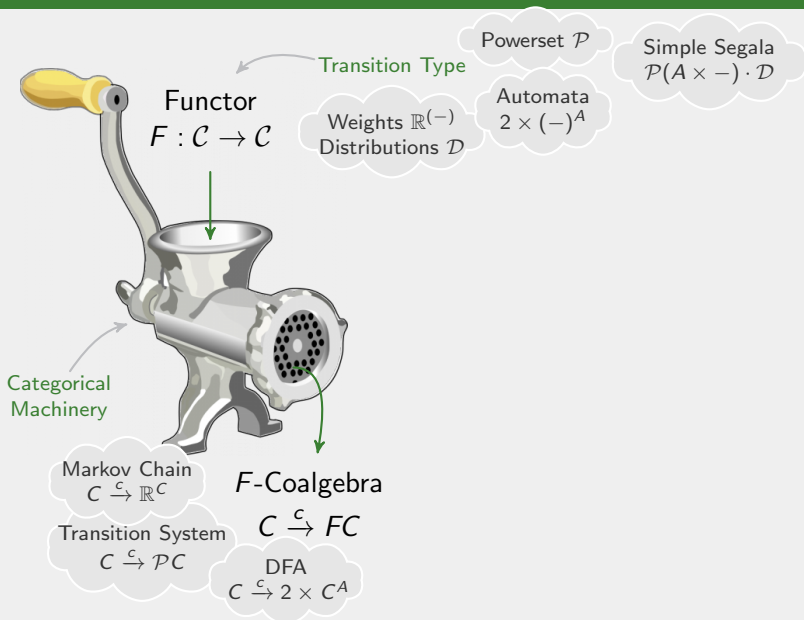


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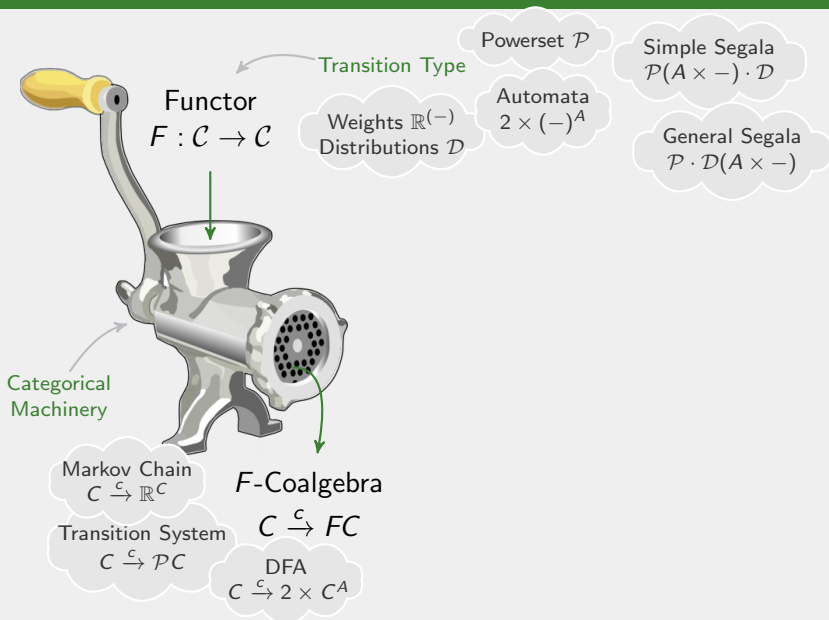




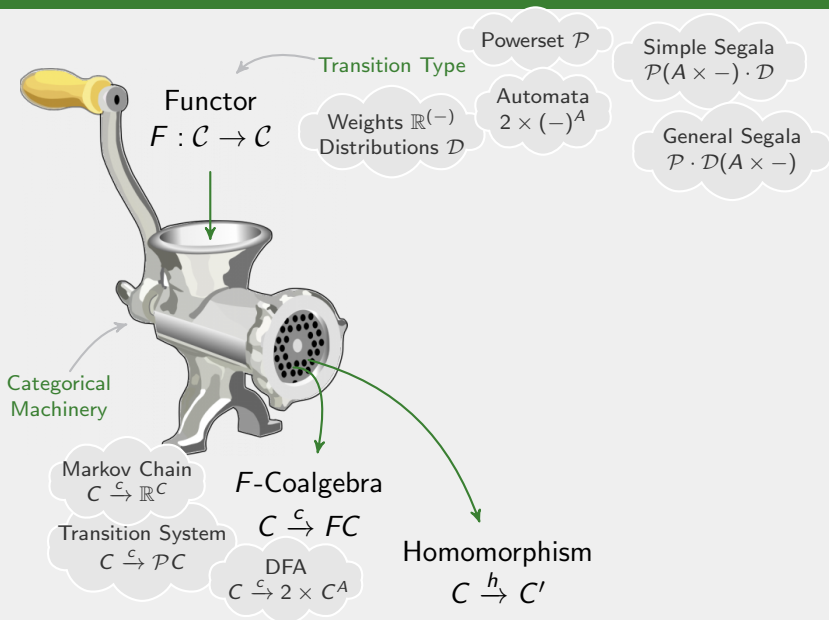
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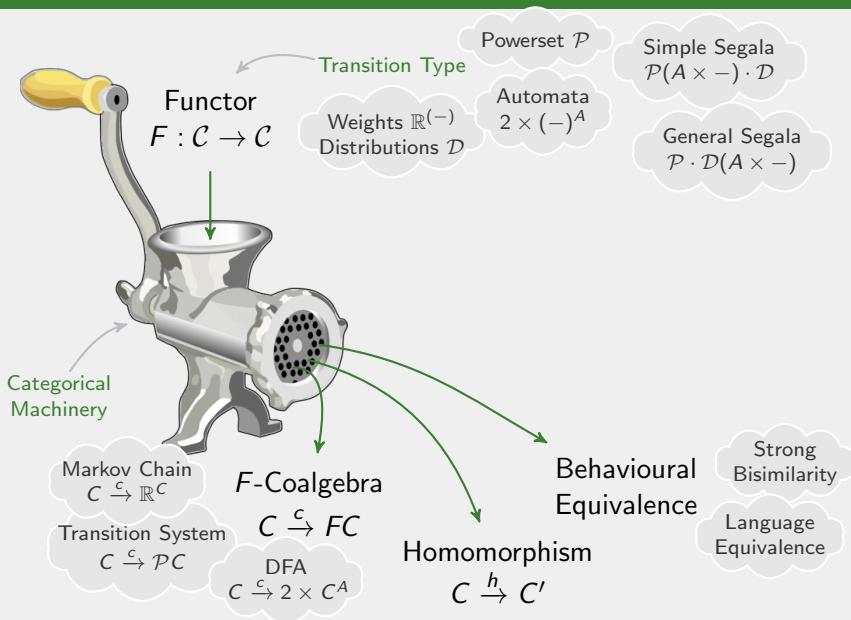
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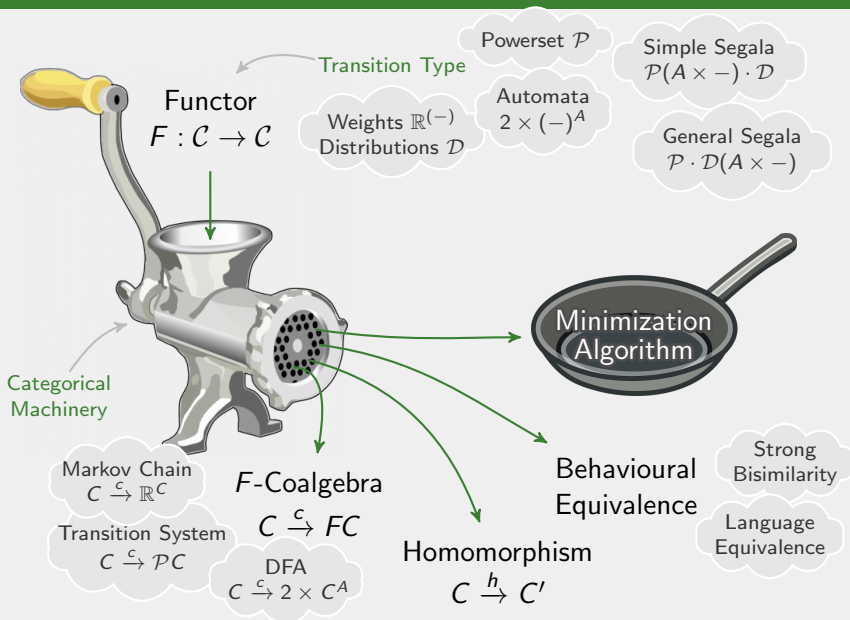
# Ingredient 2: Coalgebra – Generic state based systems



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# Ingredient 2: Coalgebra – Generic state based systems



# The Coalgebraic Task

For a functor  $F : \mathcal{C} \rightarrow \mathcal{C}$

Given a coalgebra

$$\begin{array}{ccc}
 C & \xrightarrow{c} & FC \\
 h \downarrow & & \downarrow Fh \\
 C' & \xrightarrow{c'} & FC'
 \end{array}$$

no proper  
quotient

find the simple quotient

all equivalent  
behaviours  
identified

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Instance

For  $2 \times (-)^A : \text{Set}$

Automata  
minimization

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For  $\mathbb{R}^{(-)} : \text{Set}$

Markov chain  
lumping

# The Coalgebraic Task

For a functor  $F : \mathcal{C} \rightarrow \mathcal{C}$

Given a coalgebra  $C \xrightarrow{c} FC$

$$\begin{array}{ccc} C & \xrightarrow{c} & FC \\ h \downarrow & & \downarrow Fh \\ C' & \xrightarrow{c'} & FC' \end{array}$$

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...

1. Assume  
everything  
equivalent

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2. Have a  
quotient  
on  $C$

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3. Unravel  $c : C \rightarrow FC$  by one step

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4. Pick some of the new information

refine further

```
graph TD; 1[1. Assume everything equivalent] --> 2[2. Have a quotient on C]; 2 --> 3[3. Unravel c : C -> FC by one step]; 3 --> 4[4. Pick some of the new information]; 4 -- refine further --> 2;
```

1. Assume everything equivalent

$C$   
 $\Downarrow$   
1

2. Have a quotient on  $C$

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graph TD; 1[1. Assume everything equivalent] --> 2[2. Have a quotient on C]; 2 --> 3[3. Unravel c : C -> FC by one step]; 3 --> 4[4. Pick some of the new information]; 4 -- "refine further" --> 2;
```

1. Assume everything equivalent

$C$   
 $\Downarrow$   
 $1$

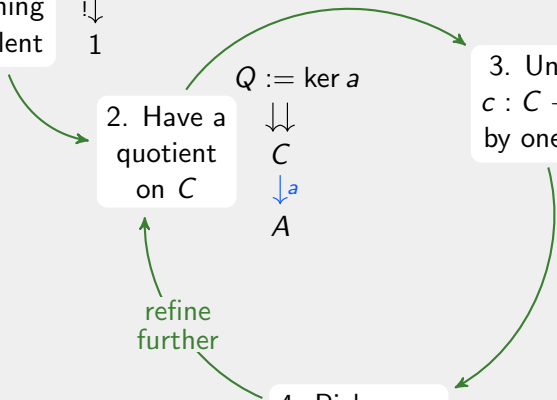
2. Have a quotient on  $C$

$Q := \ker a$   
 $\Downarrow$   
 $C$   
 $\downarrow^a$   
 $A$

3. Unravel  $c : C \rightarrow FC$  by one step

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$$\Downarrow$$

$$1$$

2. Have a quotient on  $C$

$$Q := \ker a$$

$$\Downarrow$$

$$C$$

$$\downarrow a$$

$$A$$

3. Unravel  $c : C \rightarrow FC$  by one step

$$P := \ker(Fa \cdot c)$$

$$\Downarrow$$

$$C$$

$$\downarrow c$$

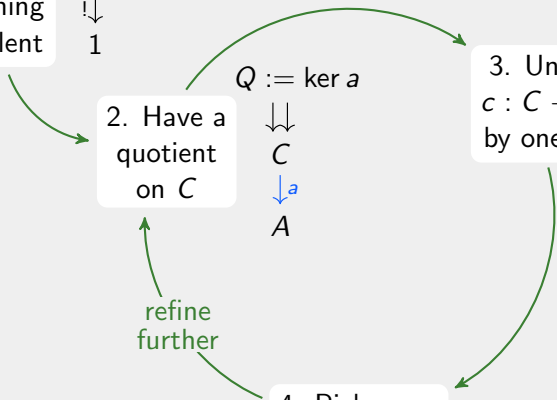
$$FC$$

$$\downarrow Fa$$

$$FA$$

refine further

4. Pick some of the new information



1. Assume everything equivalent

$$C \twoheadrightarrow 1$$

2. Have a quotient on  $C$

$$Q := \ker a$$

$$C \twoheadrightarrow A$$

refine further

4. Pick some of the new information

3. Unravel  $c : C \rightarrow FC$  by one step

$$P := \ker(Fa \cdot c)$$

$$C \twoheadrightarrow FC \xrightarrow{Fa} FA$$

$$C \twoheadrightarrow C/P \twoheadrightarrow C/Q$$

1. Assume everything equivalent

$$C \begin{array}{l} \Downarrow \\ 1 \end{array}$$

2. Have a quotient on  $C$

$$Q := \ker a \begin{array}{l} \Downarrow \\ C \\ \downarrow a \\ A \end{array}$$

refine further

4. Pick some of the new information

3. Unravel  $c : C \rightarrow FC$  by one step

$$P := \ker(Fa \cdot c) \begin{array}{l} \Downarrow \\ C \\ \downarrow c \\ FC \\ \downarrow Fa \\ FA \end{array}$$

$$\begin{array}{ccc} C & & \\ \downarrow & \dashrightarrow b & \\ C/P & \longrightarrow & B \\ \downarrow & \nearrow \text{heuristic} & \\ C/Q & & \end{array}$$

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$$C \begin{array}{l} \Downarrow \\ 1 \end{array}$$

2. Have a quotient on  $C$

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$$P := \ker(Fa \cdot c) \begin{array}{l} \Downarrow \\ C \\ \downarrow c \\ FC \\ \downarrow Fa \\ FA \end{array}$$

$a' = \langle a, b \rangle$   $\begin{array}{l} C \\ \downarrow \\ A \times B \end{array}$  refine further

4. Pick some of the new information

$$\begin{array}{ccc} C & & \\ \downarrow & \xrightarrow{b} & \\ C/P & \longrightarrow & B \\ \downarrow & \nearrow \text{heuristic} & \\ C/Q & & \end{array}$$

1. Assume everything equivalent

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2. Have a quotient on  $C$

$$Q := \ker a \\ C \Downarrow A \\ \downarrow a$$

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$$P := \ker(Fa \cdot c) \\ C \Downarrow FC \xrightarrow{c} \\ FC \Downarrow Fa \\ FA$$

$$C \xrightarrow{a' = \langle a, b \rangle} A \times B$$

refine further

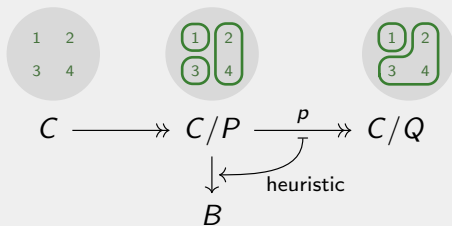
4. Pick some of the new information

$$C \xrightarrow{b} C/P \xrightarrow{\text{heuristic}} C/Q \rightarrow B$$

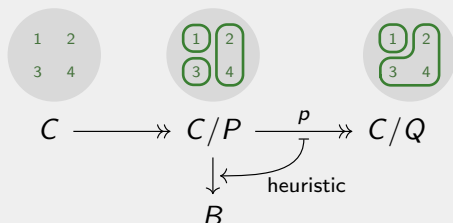
id on  $C/P$ :  
use all new information

use smaller half

# Heuristic



# Heuristic

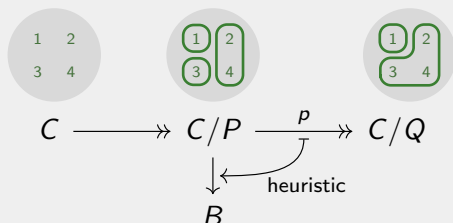


Use all new information

$B = C/P \rightsquigarrow$  Final Chain algorithm

König, Küpper '14

# Heuristic



Use all new information

$B = C/P \rightsquigarrow$  Final Chain algorithm

König, Küpper '14

Process the smaller half

Surrounding block in  $C/Q$

Let  $S \in C/P$ , such that  $2 \cdot |S| \leq |p(S)|$

$B = \{ \overset{\{3\}}{\text{ChosenBlock}}, \overset{\{2, 4\}}{\text{SameSurroundingBlock}}, \overset{\{1\}}{\text{RemainingBlocks}} \}$



## Assume

- Finitely complete category  $\mathcal{C}$
- (RegularEpi, Mono)-factorisations
- $F$  mono-preserving

## Theorem (Correctness)

$$\begin{array}{ccc} C & \xrightarrow{c} & FC \\ \downarrow & & \downarrow \\ C/P_i & \longrightarrow & F(C/Q_i) \end{array}$$

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## Theorem (Correctness)

$$\begin{array}{ccc} C & \xrightarrow{c} & FC \\ \downarrow & & \downarrow \\ C/P_i & \longrightarrow & F(C/Q_i) \end{array}$$

If  $P_i \cong Q_i$ , then this

1. is a coalgebra
2. has no proper quotient

# Efficiency: Incremental Partitions

## Incremental partitions

$Q := \ker a$

$\Downarrow$

$C$

$\downarrow^a$

$A$

# Efficiency: Incremental Partitions

## Incremental partitions

$$\begin{array}{ccc} Q := \ker a & & Q \cap \ker b \\ \Downarrow & & \Downarrow \\ C & \longrightarrow & C \\ \downarrow a & & \downarrow a' = \langle a, b \rangle \\ A & & A \times B \end{array}$$

# Efficiency: Incremental Partitions

## Incremental partitions

$$Q := \ker a$$

$$\Downarrow$$

$$C$$

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$$A$$

$$Q \cap \ker b$$

$$\Downarrow$$

$$C$$

$$\downarrow a' = \langle a, b \rangle$$

$$A \times B$$


$$P := \ker(c \cdot Fa)$$

$$\Downarrow$$

$$C$$

$$\downarrow c$$

$$FC$$

$$\downarrow Fa$$

$$FA$$

# Efficiency: Incremental Partitions

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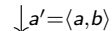


$$C$$


$$A$$

$$Q \cap \ker b$$



$$C$$


$$A \times B$$


$$P := \ker(c \cdot Fa)$$



$$C$$


$$FC$$


$$FA$$


$$?? \cap ??$$



$$C$$


$$FC$$


$$F(A \times B)$$

# Efficiency: Incremental Partitions

Incremental partitions

$$\begin{array}{ccc}
 Q := \ker a & Q \cap \ker b & P := \ker(c \cdot Fa) \\
 \Downarrow & \Downarrow & \Downarrow \\
 C & C & C \\
 \downarrow a & \downarrow a' = \langle a, b \rangle & \downarrow c \\
 A & A \times B & FC \\
 & & \downarrow Fa \\
 & & FA
 \end{array}
 \longrightarrow
 \begin{array}{ccc}
 ?? \cap ?? & & \\
 \Downarrow & & \\
 C & & \\
 \downarrow c & & \\
 FC & & \\
 \downarrow F\langle a, b \rangle & & \\
 F(A \times B) & &
 \end{array}$$

Question: When is  $\ker F\langle a, b \rangle = \ker \langle Fa, Fb \rangle$  ?

# Efficiency: Incremental Partitions

## Incremental partitions

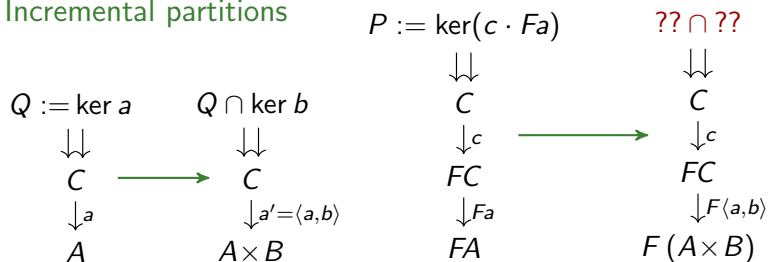
$$\begin{array}{ccc}
 Q := \ker a & & Q \cap \ker b \\
 \Downarrow & & \Downarrow \\
 C & \longrightarrow & C \\
 \downarrow a & & \downarrow a' = \langle a, b \rangle \\
 A & & A \times B
 \end{array}
 \qquad
 \begin{array}{ccc}
 P := \ker(c \cdot Fa) & & ?? \cap ?? \\
 \Downarrow & & \Downarrow \\
 C & & C \\
 \downarrow c & \longrightarrow & \downarrow c \\
 FC & & FC \\
 \downarrow Fa & & \downarrow F\langle a, b \rangle \\
 FA & & F(A \times B)
 \end{array}$$

Theorem: In Set,  $\ker F\langle a, b \rangle = \ker \langle Fa, Fb \rangle$  if



# Efficiency: Incremental Partitions

## Incremental partitions



Theorem: In Set,  $\ker F\langle a, b \rangle = \ker \langle Fa, Fb \rangle$  if

$$\begin{array}{c}
 F(L+R) \\
 \downarrow \text{injective and} \\
 F(L+1) \times F(1+R)
 \end{array}$$

“zippable”

# Efficiency: Incremental Partitions

## Incremental partitions

$$\begin{array}{ccc}
 Q := \ker a & Q \cap \ker b & P := \ker(c \cdot Fa) \\
 \Downarrow & \Downarrow & \Downarrow \\
 C & C & C \\
 \downarrow a & \downarrow a' = \langle a, b \rangle & \downarrow c \\
 A & A \times B & FC \\
 & & \downarrow Fa \\
 & & FA \\
 & & \longrightarrow \\
 & & \downarrow c \\
 & & FC \\
 & & \downarrow F\langle a, b \rangle \\
 & & F(A \times B)
 \end{array}$$

??  $\cap$  ??

Theorem: In Set,  $\ker F\langle a, b \rangle = \ker \langle Fa, Fb \rangle$  if

$$\begin{array}{ccc}
 F(L+R) & & \ker a \cup \ker b \\
 \downarrow & \text{injective and} & \text{an equivalence} \\
 F(L+1) \times F(1+R) & & \\
 \uparrow & & \\
 \text{"zippable"} & & 
 \end{array}$$

# Efficiency: Incremental Partitions

## Incremental partitions

$$\begin{array}{ccc}
 Q := \ker a & Q \cap \ker b & P := \ker(c \cdot Fa) \quad P \cap \ker(Fb \cdot c) \\
 \Downarrow & \Downarrow & \Downarrow \quad \Downarrow \\
 C & C & C \\
 \downarrow a & \downarrow a' = \langle a, b \rangle & \downarrow c \\
 A & A \times B & FC \\
 & & \downarrow Fa \\
 & & FA \\
 & & \longrightarrow \\
 & & FC \\
 & & \downarrow F\langle a, b \rangle \\
 & & F(A \times B)
 \end{array}$$

Theorem: In Set,  $\ker F\langle a, b \rangle = \ker \langle Fa, Fb \rangle$  if

$$\begin{array}{c}
 F(L+R) \\
 \downarrow \text{injective and} \\
 F(L+1) \times F(1+R)
 \end{array}$$

$\ker a \cup \ker b$   
an equivalence

“zippable”

## Setting for complexity analysis

Category:

Set

Heuristic:

smaller half

Functor:

zippable &  
**encoding**

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- coalgebra structure as edges with labels

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- Refinement interface { update(), init(), weight() }

⇒ compute “smaller half” intersections in linear time

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⇒ compute “smaller half” intersections in linear time

## Theorem

Overall complexity:  $\mathcal{O}((m+n) \cdot \log n)$  for  $n = |C|$ ,  $m = \sum_{x \in C} |bc(x)|$

System	Functor	Concrete algorithm		Our instantiation
Transition Systems	$\mathcal{P}$	$(m + n) \cdot \log n$ Paige, Tarjan '87	=	$(m + n) \cdot \log n$
LTS	$\mathcal{P}(A \times -)$	$(m + n) \cdot \log(m + n)$ Dovier, Piazza, Policriti '04	=	$(m + n) \cdot \log(m + n)$
		$(m + n) \cdot \log m$ Valmari '09	<	
Markov Chains	$\mathbb{R}(-)$	$(m + n) \cdot \log n$ Valmari, Franceschinis '10	=	$(m + n) \cdot \log n$
DFA	$2 \times (-)^A$	$n \cdot \log n$ for fixed $A$ , Hopcroft '71	=	$n \cdot \log n$
	$2 \times \mathcal{P}(A \times -)$	$ A  \cdot n \cdot \log n$ , Gries '73, Knuutila '01	$\approx$	$ A  \cdot n \cdot \log n$ $+  A  \cdot n \cdot \log  A $
Segala Systems	$\mathcal{P}(A \times -) \cdot \mathcal{D}$	$m \cdot n \cdot \log(m \cdot n)$ Baier, Engelen, Majster-Cederbaum '00	>	$(m + n) \cdot \log(m + n)$

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Generic & Efficient



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More instances:  
further system types  
& categories

Generic & Efficient

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Generic & Efficient

Compare to  
existing concrete  
implementations

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Appendix ...

# Genericity: Initial partiton

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$$C \xrightarrow{c} FC$$

Usual partition refinement algorithms

Return coarsest partition compatible with  $c$ , refining  $C \xrightarrow{\kappa} \mathcal{I}$

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Return coarsest partition compatible with  $c$ , refining  $C \xrightarrow{\kappa} \mathcal{I}$



Coalgebraic partition refinement for  $\mathcal{I} \times F$

For the coalgebra  $C \xrightarrow{\langle \kappa, c \rangle} \mathcal{I} \times FC$

# Genericity: Composition

If  $F$  finitary,

$$C \xrightarrow{c} FG C$$



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If  $F$  finitary,

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A coalgebra on  $\text{Set}^2$  for the functor  $(X, Y) \mapsto (FY, GX)$ :

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## Examples

$$\begin{array}{ll}
 \mathcal{P} \cdot (A \times (-)) & (2 \times \mathcal{P}) \cdot (A \times (-)) \\
 \mathcal{P} \cdot (A \times (-)) \cdot \mathcal{D} & \mathcal{P} \cdot \mathcal{D} \cdot (A \times (-)) \quad \dots
 \end{array}$$

Functors  $F$  zippable, if

$$F(L + R) \xrightarrow{\text{unzip}} F(L + 1) \times F(1 + R) \text{ is monic.}$$

E.g. Id, Constants,  $\times$ ,  $+$ ,  $\hookrightarrow$ ,  $M^{(-)}$ , part. additive

Examples for sets  $L = \{a_1, a_2, a_3\}$ ,  $R = \{b_1, b_2\}$ ,  $1 = \{-\}$

$$\begin{array}{c} a_1 \ a_2 \ b_1 \ a_3 \ b_2 \xrightarrow{\text{unzip}} \\ (a_1 \ a_2 \ - \ a_3 \ -, \\ \ - \ - \ b_1 \ - \ b_2) \longleftarrow \\ (-)^* \text{ is zippable} \end{array}$$

$$\begin{array}{c} \{a_1, a_2, b_1\} \xrightarrow{\text{unzip}} \\ (\{a_1, a_2, -\}, \\ \{-, b_1\}) \longleftarrow \\ \mathcal{P} \text{ is zippable} \end{array}$$

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$(-)^*$  is zipable

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$\mathcal{P}$  is zipable

$$\{\{a_1, b_1\}, \{a_2, b_2\}\} \quad \{\{a_1, b_2\}, \{a_2, b_1\}\}$$

$$\text{unzip} \left\{ \begin{array}{l} \left( \left\{ \{a_1, -\}, \{a_2, -\} \right\}, \right. \\ \left. \left\{ \{-, b_1\}, \{-, b_2\} \right\} \right) \end{array} \right. \text{unzip}$$

$\mathcal{PP}$  is not zipable

~~Composition~~

~~Quotients~~

$$A \xleftarrow{a} X \xrightarrow{b} B$$

$\ker a \cup \ker b$  a kernel in Set

$\Leftrightarrow \ker a \cup \ker b$  transitive

$\Leftrightarrow \forall x \in X : [x]_a \subseteq [x]_b$  or  $[x]_a \supseteq [x]_b$

Example



Non-Example



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Example



Non-Example



Process smaller half for  $X \xrightarrow{f} F \xrightarrow{g} G$

Find  $x \in X$ , with  $S := [x]_f$ ,  $C := [x]_{gf}$ , such that  $2 \cdot |S| \leq |C|$ .

Return  $\langle \chi_S, \chi_C \rangle : X \rightarrow 2 \times 2$



## Functor encoding

- internal weights  $W, w : FX \rightarrow \mathcal{P}X \rightarrow W$
- edge labels  $L$
- $b : FX \rightarrow \mathcal{B}_f(L \times X)$
- update :  $\mathcal{B}_f(L) \times W \longrightarrow W \times F(2 \times 2) \times W$



Functor:	$G(-)$	$\mathcal{B}_f$	$\mathcal{D}$	$\mathcal{P}$	$F_\Sigma$
Labels $L$ :	$G$	$\mathbb{N}$	$[0, 1]$	$1$	$\mathbb{N}$
Weights $W$ :	$G^{(2)}$	$\mathcal{B}_f 2$	$\mathcal{D} 2$	$\mathbb{N}$	$F_\Sigma 2$
$w(C), C \subseteq Y$ :	$G_{\chi_C}$	$\mathcal{B}_f \chi_C$	$\mathcal{D}_{\chi_C}$	$ C \cap (-) $	$F_\Sigma \chi_C$

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