

Minimality Notions via Factorization Systems

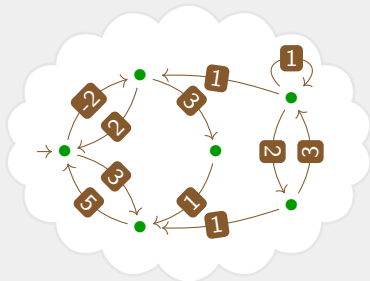
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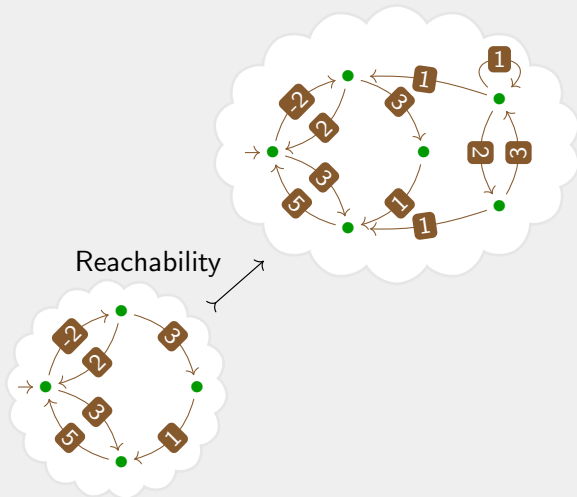
Thorsten Wißmann

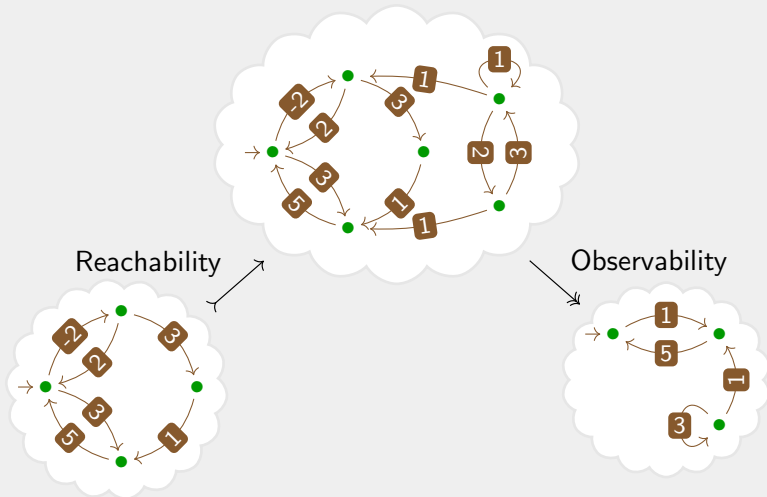
Radboud University, Nijmegen, the Netherlands

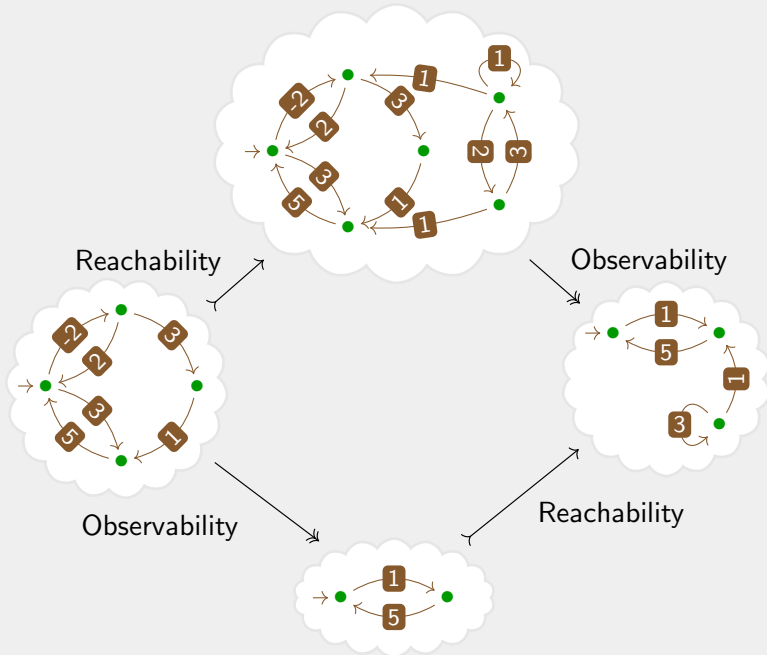
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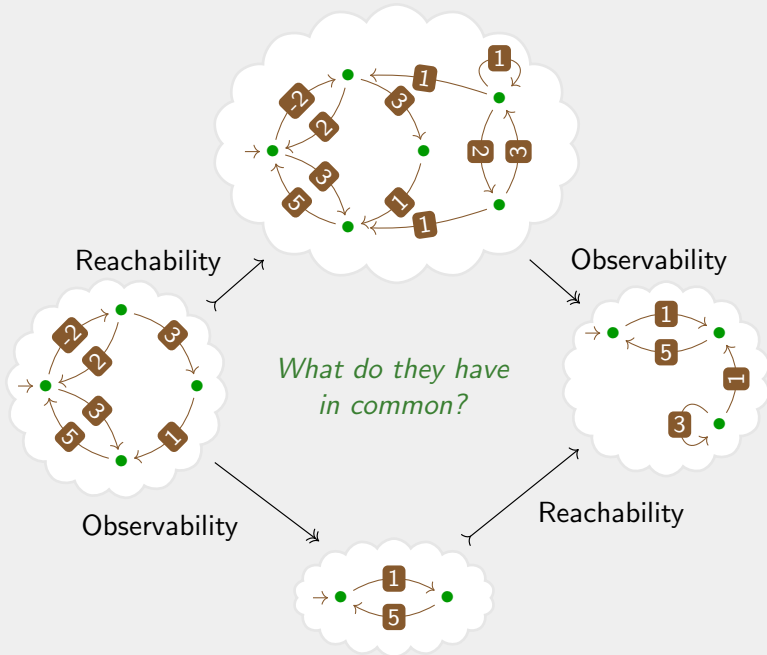
September 2, 2021











Category $\text{Coalg}(F)$

For a functor $F: \mathcal{C} \rightarrow \mathcal{C}$:

$(F\text{-})$ coalgebra $C \xrightarrow{c} FC$

Coalgebra homomorphisms:

$h: (C, c) \rightarrow (D, d)$
in $\text{Coalg}(F)$

$:\Leftrightarrow$

$$\begin{array}{ccc} C & \xrightarrow{c} & FC \\ h \downarrow & & \downarrow Fh \\ D & \xrightarrow{d} & FD \end{array}$$

States

Successor map

Successor type

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Examples

From now on: $\mathcal{C} = \text{Set}$. Coalgebras for functors $F: \text{Set} \rightarrow \text{Set}$:

- $FX = 2 \times X^A$ deterministic automata (without initial state)
- $FX = \mathcal{P}X$ transition systems
- $FX = \mathbb{R}^{(X)}$ weighted systems (negative weights!)

Coalgebraic Task

Given a coalgebra

$$\begin{array}{ccc} C & \xrightarrow{c} & FC \\ h \downarrow & & \downarrow Fh \\ D & \xrightarrow{d} & FD \end{array}$$

find the simple quotient

no proper
quotient



Gumm '03

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Gumm '03

Examples

This task for specific F -coalgebras:

- $FX = 2 \times X^A \rightsquigarrow$ quotient by: language equivalence
- $FX = \mathcal{P}X \rightsquigarrow$ quotient by: (strong) bisimilarity
- $FX = \mathbb{R}^{(X)} \rightsquigarrow$ quotient by: weighted bisimilarity

Category $\text{Coalg}_I(F)$ for $I \in \text{Set}$

- I -pointed F -coalgebra $(C, c, i_C) \quad I \xrightarrow{i_C} C \xrightarrow{c} FC$
- Homomorphism:

$$\begin{array}{ccc}
 (C, c, i_C) & & I \xrightarrow{i_C} C \xrightarrow{c} FC \\
 \downarrow h & \text{in } \text{Coalg}_I(F) & \swarrow i_D \quad \downarrow h \quad \downarrow Fh \\
 (D, d, i_D) & \iff & D \xrightarrow{d} FD \quad \text{in } \mathcal{C}
 \end{array}$$

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 \end{array}$$

Examples

Coalgebras for Set-functors $F: \text{Set} \rightarrow \text{Set}$ and $I = 1 = \{*\}$:

- $FX = 2 \times X^A$ deterministic automata incl. initial state
- $FX = \mathcal{P}X$ pointed graphs / transition systems
- $FX = \mathbb{R}^{(X)}$ pointed weighted systems


Coalgebraic Task

Given a pointed coalgebra $I \xrightarrow{i_C} C \xrightarrow{c} FC$

find the reachable subcoalgebra

$$\begin{array}{ccccc}
 I & \xrightarrow{i_C} & C & \xrightarrow{c} & FC \\
 & \searrow i_D & \uparrow h & & \uparrow Fh \\
 & & D & \xrightarrow{d} & FD
 \end{array}$$

no proper
pointed subcoalgebra



Adámek, Milius, Moss, Sousa '13


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Examples

This task for specific F -coalgebras:

- $FX = 2 \times X^A \rightsquigarrow$ restrict to: reachable states
- $FX = \mathcal{P}X \rightsquigarrow$ restrict to: reachable states
- $FX = \mathbb{R}^{(X)} \rightsquigarrow$ restrict to: reachable states

Definition: \mathcal{M} -Minimality

Category \mathcal{K} with an $(\mathcal{E}, \mathcal{M})$ -factorization system:

object C is (\mathcal{M}) -minimal $:\Leftrightarrow$ every $h: D \rightarrow C$ is an isomorphism

in \mathcal{M}

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$\mathcal{K} = \text{Coalg}_l(F)$

(C, c, i_C) is $(\mathcal{M}$ -carried-)minimal

\Leftrightarrow

(C, c, i_C) is reachable

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$\mathcal{K} = \text{Coalg}(F)^{\text{op}}$

(C, c) is $(\mathcal{E}$ -carried-)minimal

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(C, c) is simple

Definition: $(\mathcal{E}, \mathcal{M})$ -factorization system in \mathcal{K}

Classes of Morphisms: $\mathcal{M} \rightarrow \mathcal{E}$

each closed under composition with isomorphisms

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- Factorization of every $f: A \rightarrow B$

$$\begin{array}{c} \xrightarrow{\quad f \quad} \\ \downarrow \quad \quad \quad \downarrow \\ A \xrightarrow{e} \text{Im}(f) \xrightarrow{m} B \end{array}$$

Definition: $(\mathcal{E}, \mathcal{M})$ -factorization system in \mathcal{K}

Classes of Morphisms: $\twoheadrightarrow \mathcal{M} \twoheadrightarrow \mathcal{E}$

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- Factorization of every $f: A \rightarrow B$
- Diagonal fill-in:

$$\begin{array}{ccc}
 & \xrightarrow{f} & \\
 \lrcorner & & \searrow \\
 A & \xrightarrow{e} \twoheadrightarrow \text{Im}(f) & \xrightarrow{m} B
 \end{array}$$

$$\begin{array}{ccc}
 A & \xrightarrow{e} \twoheadrightarrow & B \\
 f \downarrow & \swarrow \exists! d & \downarrow g \\
 C & \xrightarrow{m} & D
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Example:

$\mathcal{K} = \text{Set}$

$\mathcal{E} = \text{surjective maps}$

$\mathcal{M} = \text{injective maps}$

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Example:

$(\mathcal{E}, \mathcal{M})$ -factorization system in \mathcal{K}
 \iff
 $(\mathcal{M}, \mathcal{E})$ -factorization system in \mathcal{K}^{op}

Theorem

$(\mathcal{E}, \mathcal{M})$ -factorization system in \mathcal{C} lifts to
an $(\mathcal{E}$ -carried, \mathcal{M} -carried)-factorization system in:

- $\text{Coalg}(F)$ if $F: \mathcal{C} \rightarrow \mathcal{C}$ preserves \mathcal{M}

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If $\mathcal{C} = \text{Set}$, $(\mathcal{E}, \mathcal{M}) = (\text{Epi}, \text{Mono})$

- mono-preservation of F is wlog for coalgebras
- all Set -functors preserve epimorphisms

Proposition:

Category \mathcal{K} with an $(\mathcal{E}, \mathcal{M})$ -factorization system:

object C is \mathcal{M} -minimal \iff every $h: D \rightarrow C$ is in \mathcal{E}

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$\mathcal{K} = \text{Coalg}_I(F)$

(C, c, i_C) is reachable



every $h: (D, d, i_D) \rightarrow (C, c, i_C)$ is
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(C, c, i_C) is reachable

\iff

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surjective

$\mathcal{K} = \text{Coalg}(F)^{\text{op}}$

(C, c) is simple

\iff

every $h: (C, c) \rightarrow (D, d)$
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Minimality Notions

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Coalg(F)

If $F: \mathcal{C} \rightarrow \mathcal{C}$ preserves weak kernel pairs..

- monomorphisms in $\text{Coalg}(F) = \text{Mono-carried homomorphisms}$
- simple coalgebras = subterminal coalgebras

Definition:

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$$\mathcal{K} = \text{Coalg}_I(F)$$

\mathcal{M} -minimization of (C, c, i_C)

=

reachable subcoalgebra of
 (C, c, i_C)

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$$\begin{array}{l} \mathcal{M}\text{-minimization of } (C, c, i_C) \\ = \\ \text{reachable subcoalgebra of} \\ (C, c, i_C) \end{array}$$

$$\mathcal{K} = \text{Coalg}(F)^{\text{op}}$$

$$\begin{array}{l} \mathcal{E}\text{-minimization of } (C, c) \\ = \\ \text{simple quotient of } (C, c) \end{array}$$

Proposition: \mathcal{M} -minimizations ...

- are unique (up to iso) if \mathcal{K} has pullbacks of \mathcal{M} -morphisms
- exist if \mathcal{K} has wide pullbacks of \mathcal{M} -morphisms, $\mathcal{M} \subseteq \text{Mono}$, and \mathcal{K} is \mathcal{M} -wellpowered

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$\mathcal{K} = \text{Coalg}_I(F)$

$F: \text{Set}^{\circlearrowleft}$

reachable subcoalgebras ...

- are unique (up to iso) if F preserves finite intersections
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Adámek, Milius, Moss, Sousa '13

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simple quotients ...

- are unique (up to iso)
- exist

Gumm '08

Assume: \mathcal{M} is a class of monos and all \mathcal{M} -minimizations exist

Proposition:

If pullbacks along \mathcal{M} -morphisms exist in \mathcal{K} , then

- \mathcal{M} -minimal objects form a coreflective subcategory $\mathcal{K}_{\min} \hookrightarrow \mathcal{K}$
- \mathcal{M} -minimization is the coreflector $\mathcal{K} \rightarrow \mathcal{K}_{\min}$ (\Rightarrow functorial)
- \mathcal{M} -minimal objects are closed under \mathcal{E} -morphisms

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$$\mathcal{K} = \text{Coalg}_I(F) \quad F: \text{Set}^{\circlearrowleft}$$

If F preserves inverse images,

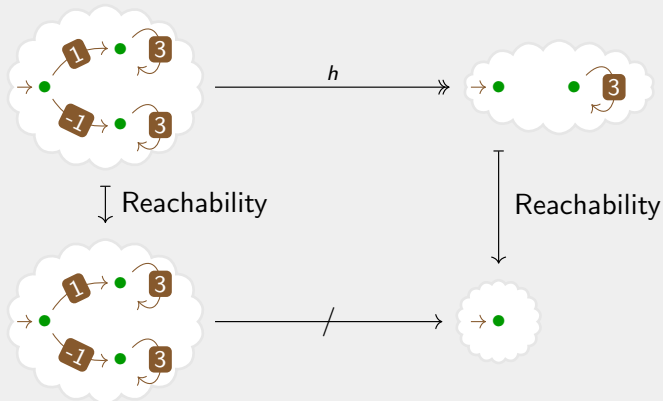
- coreflective subcategory $\text{Coalg}_I^{\text{reach}}(F) \hookrightarrow \text{Coalg}_I(F)$
- reachability is functorial
- reachability closed under quotients

Inverse image preservation

- $FX = 2 \times X^A$ preserves inverse images
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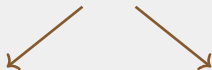
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$$\mathcal{K} = \text{Coalg}(F)^{\text{op}} \quad F: \text{Set}^{\circlearrowleft}$$

- Reflective subcategory $\text{Coalg}^{\text{simple}}(F) \hookrightarrow \text{Coalg}(F)$
 - Finding the simple quotient is functorial
 - Simple closed under subcoalgebras
- Gumm '08

Assume:

- all \mathcal{M} -minimizations in \mathcal{K} and all \mathcal{E} -minimizations in \mathcal{K}^{op} exist
- \mathcal{K} has pullbacks along \mathcal{M}
- \mathcal{K} has pushouts along \mathcal{E}

Proposition

For $C \in \mathcal{K}$, the following yield the same:

- \mathcal{E} -minimization (in \mathcal{K}^{op}) of the \mathcal{M} -minimization of C (in \mathcal{K})
- \mathcal{M} -minimization (in \mathcal{K}) of the \mathcal{E} -minimization of C (in \mathcal{K}^{op})

Assume:

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$$\mathcal{K} = \text{Coalg}_I(F) \quad F: \text{Set} \rightarrow \text{Set}$$

If F preserves inverse images, then reachability and observability minimization can be performed in any order.

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Minimization Criteria for..

- existence & uniqueness
 - functoriality & adjointness
- $\mathcal{K}_{\min} \hookrightarrow \mathcal{K}$ coreflective

Interplay

For F -coalgebras:

if F preserves inverse images

References

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Simple Quotient in $\text{Coalg}_I(F)$

Nothing new in $\text{Coalg}_I(F)$ for \rightarrow

The forgetful functor

$$\text{Coalg}_I(F) \longrightarrow \text{Coalg}(F)$$

preserves and reflects simple coalgebras and simple quotients.

Proof

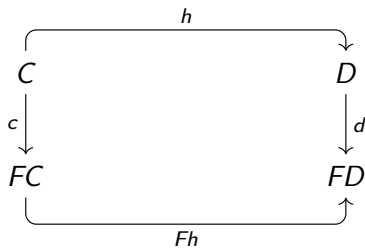
For every pointed coalgebra (C, c, i) , we have isomorphic categories:

$$(C, c, i)/\text{Coalg}_I(F) \cong (C, c)/\text{Coalg}(F)$$

$(\mathcal{E}$ -carried, \mathcal{M} -carried)-factorization system in $\text{Coalg}(F)$?

Assume: F preserves \mathcal{M}

Factorization of a homomorphism $h: (C, c) \rightarrow (D, d)$



[Standard]

$(\mathcal{E}\text{-carried}, \mathcal{M}\text{-carried})\text{-factorization system in } \text{Coalg}(F)?$

Assume: F preserves \mathcal{M}

Factorization of a homomorphism $h: (C, c) \rightarrow (D, d)$

$$\begin{array}{ccccc} & & \xrightarrow{h} & & \\ & \swarrow & & \searrow & \\ C & \xrightarrow{e} & \text{Im}(h) & \xrightarrow{m} & D \\ & \downarrow c & & & \downarrow d \\ FC & & & & FD \\ & \swarrow & & \searrow & \\ & & \xrightarrow{Fh} & & \end{array}$$

[Standard]

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 FC & \xrightarrow{Fe} & F\text{Im}(h) & \xrightarrow{Fm} & FD \\
 & \swarrow & & \searrow & \\
 & & \xrightarrow{Fh} & &
 \end{array}$$

[Standard]

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Factorization of a homomorphism $h: (C, c) \rightarrow (D, d)$

$$\begin{array}{ccccc}
 & & h & & \\
 & \overbrace{\hspace{10em}} & & \searrow & \\
 C & \xrightarrow{e} & \text{Im}(h) & \xrightarrow{m} & D \\
 \downarrow c & & \downarrow \exists! u & & \downarrow d \\
 FC & \xrightarrow{Fe} & F\text{Im}(h) & \xrightarrow{Fm} & FD \\
 & \underbrace{\hspace{10em}} & & \nearrow & \\
 & & Fh & &
 \end{array}$$

[Standard]

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 & \underbrace{\hspace{10em}} & & \nearrow & \\
 & & Fh & &
 \end{array}$$

[Standard]

\implies Not enough for a factorization system in $\text{Coalg}(F)$

$(\mathcal{E}$ -carried, \mathcal{M} -carried)-factorization system in $\text{Coalg}(F)$!

Proposition: Diagonal fill-in in $\text{Coalg}(F)$, if F preserves \mathcal{M}

$$\begin{array}{ccc} (A, a) & \xrightarrow{e} \twoheadrightarrow & (B, b) \\ f \downarrow & \swarrow \exists! u \text{ } \dashrightarrow & \downarrow g \\ (C, c) & \xrightarrow{m} \rightarrow & (D, d) \end{array}$$

[New]

$(\mathcal{E}$ -carried, \mathcal{M} -carried)-factorization system in $\text{Coalg}(F)$!

Proposition: Diagonal fill-in in $\text{Coalg}(F)$, if F preserves \mathcal{M}

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 (A, a) & \xrightarrow{e} \twoheadrightarrow & (B, b) \\
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 (C, c) & \xrightarrow{m} \rightarrow & (D, d)
 \end{array}$$

[New]

Proof. Obtain u as the diagonal in \mathcal{C} . It is a coalgebra morphism!

$(\mathcal{E}$ -carried, \mathcal{M} -carried)-factorization system in $\text{Coalg}(F)$!

Proposition: Diagonal fill-in in $\text{Coalg}(F)$, if F preserves \mathcal{M}

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 (A, a) & \xrightarrow{e} \twoheadrightarrow & (B, b) \\
 f \downarrow & \swarrow \exists! u \text{ ---} & \downarrow g \\
 (C, c) & \xrightarrow{m} & (D, d)
 \end{array}$$

[New]

Proof. Obtain u as the diagonal in \mathcal{C} . It is a coalgebra morphism!

$$\begin{array}{ccccc}
 A & \xrightarrow{e} \twoheadrightarrow & B & & \\
 a \downarrow & \searrow f & \swarrow u & & \\
 FA & \xrightarrow{f \text{ hom.}} & C & \xrightarrow{m} & D & \xrightarrow{g \text{ hom.}} & FB \\
 Ff \downarrow & \swarrow c & & \searrow d & & \swarrow Fg \\
 FC & \xrightarrow{Fm} & & & FD
 \end{array}$$

$$\begin{array}{ccccc}
 A & \xrightarrow{e} \twoheadrightarrow & B & & \\
 a \downarrow & \text{e hom.} & \swarrow b & & \downarrow b \\
 FA & \xrightarrow{Fe} & FB & \xrightarrow{\text{trivial}} & FB \\
 Ff \downarrow & \swarrow Fu & & \searrow Fg & \downarrow Fg \\
 FC & \xrightarrow{Fm} & & & Fm
 \end{array}$$

Uniqueness of the diagonal fill-in $\Rightarrow c \cdot u = Fu \cdot b$

□

Tree unravelling as minimization

Setting

- $FX = BX = \text{bags} = \text{finite multisets}$
- Category \mathcal{K} : reachable pointed \mathcal{B} -coalgebras
- (Mor, Iso)-factorization system on \mathcal{K}
- Mor-minimization = tree unravelling

Unravelling of siblings



Unravelling of a loop

